

19.1.1 ADT algorithms - linked lists, binary trees, graphs, and Big O

Name: _____ Class: _____ Date: _____

Total: 32 marks

KEY WORDS binary tree 二叉树 • binary search 二分查找 • bubble sort 冒泡排序 • queue 队列
• linked list 链表

Objective

Build the skills to answer the **Abstract Data Type** 抽象数据类型 questions in 19.1. Sheet 19.1 covers the searches, the sorts and the comparison criteria well. The other half of the unit is missing from it entirely: the words binary tree, linked list, graph, dictionary and traversal occur **zero** times in it, although two of the five statements are about writing algorithms for exactly those structures.

You must be able to:

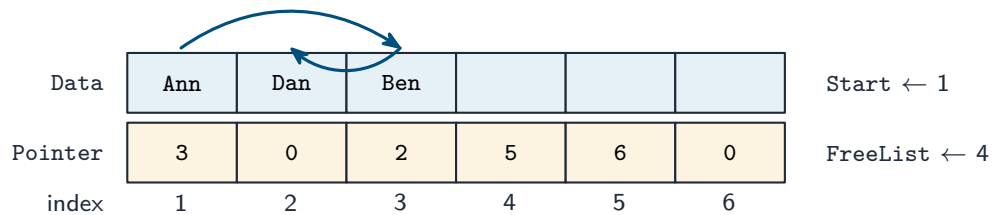
- write algorithms to **find** an item in a **linked list** 链表 and in a **binary tree** 二叉树
- write algorithms to **insert** an item into a stack, a queue, a linked list and a binary tree, and to **delete** from the first three
- show that a **graph** 图 is an ADT, describe its key features and justify its use for a given situation
- show how one ADT can be **implemented from another**, or from a built-in type
- compare algorithms using **Big O** notation for time and space complexity

1 Worked examples

Study these first. Each one shows a **method** that a question later on this sheet needs.

- Finding an item in a linked list

follow the pointers: $1 \rightarrow 3 \rightarrow 2 \rightarrow 0$ gives Ann, Ben, Dan



a pointer of 0 marks the end of a list; unused cells are chained into the free list $4 \rightarrow 5 \rightarrow 6$
to insert Cal: take cell 4 from the free list, store Cal, set $\text{Pointer}[4] \leftarrow 2$ and $\text{Pointer}[3] \leftarrow 4$

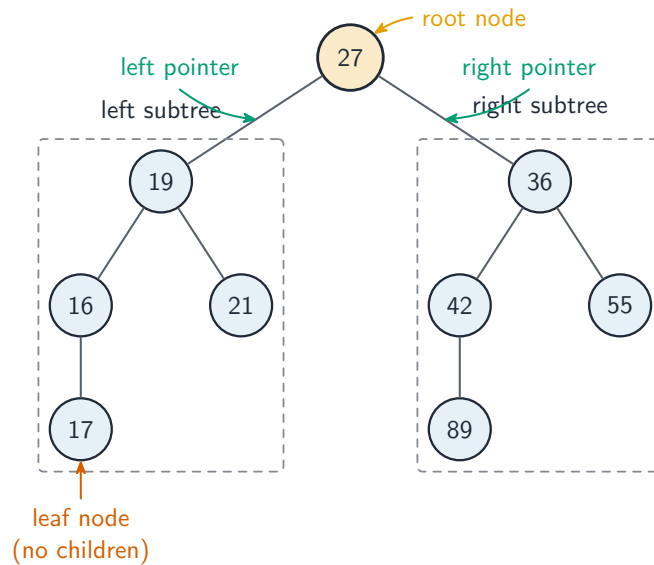
A linked list has no index, so there is exactly one way in: start at the head and follow the pointers.

```

CurrentPointer ← StartPointer
Found ← FALSE
WHILE CurrentPointer <> NullPointer AND Found = FALSE
    IF Data[CurrentPointer] = SearchItem THEN
        Found ← TRUE
    ELSE
        CurrentPointer ← Pointer[CurrentPointer]
    ENDIF
ENDWHILE

```

- The loop condition needs **both** tests: the value may be found, or the list may run out. Leaving out the null test walks off the end of the list.
 - Because every node must be visited in the worst case, a linked-list search is $O(n)$ – there is no way to jump to the middle, which is exactly what an array allows and a list does not.
- A binary tree: inserting, searching, traversing



In a **binary search tree** every value in the left subtree is **smaller** than the node and every value in the right subtree is **larger**. That one rule drives both algorithms.

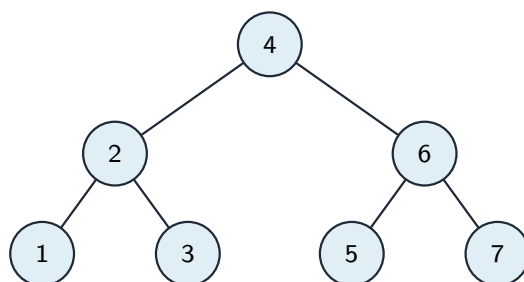
To insert: start at the root; go **left** if the new value is smaller and **right** if it is larger; repeat until the pointer you would follow is **null**; put the new node there.

To search: the same walk. It succeeds when the values match, and fails when the pointer to follow is null.

Worked example. *Insert 50, 30, 70, 20, 40, 60, 80 in that order, then insert 45.*

50 becomes the root. 30 goes left of it, 70 right. 20 goes left of 30, 40 right of 30; 60 left of 70, 80 right of 70.

For 45: $45 < 50$ so go left to 30; $45 > 30$ so go right to 40; $45 > 40$ and 40's right pointer is null, so **45 becomes the right child of 40**.



pre-order: 4 2 1 3 6 5 7

in-order: 1 2 3 4 5 6 7

post-order: 1 3 2 5 7 6 4

The three **traversals** differ only in *when the node itself is visited*:

Traversal	Order	On the tree above
in-order	left, node , right	20, 30, 40, 50, 60, 70, 80
pre-order	node , left, right	50, 30, 20, 40, 70, 60, 80
post-order	left, right, node	20, 40, 30, 60, 80, 70, 50

- An **in-order traversal of a binary search tree gives the values in ascending order**. That is the single most useful fact about the structure, and it is why a BST is a sorted structure that is still cheap to insert into.

■ A graph, and why it is not a tree

A **graph** is a set of **vertices** 顶点 (nodes) joined by **edges** 边. Its key features:

- an edge may be **directed** (one way) or **undirected**, and may carry a **weight** – a distance, a cost, a time;
- a vertex may have **any number** of edges, and there may be **cycles**;
- there is no root and no parent-child rule.

A tree is a special case of a graph: connected, with no cycles, and every node having exactly one parent. So use a **graph** whenever the data is about **relationships between pairs** that those restrictions would break – a road network where routes loop back, a social network where friendship is mutual, web pages linking to each other.

It is stored either as an **adjacency matrix** (a grid of every vertex against every other, simple but wasteful when there are few edges) or as an **adjacency list** (each vertex holding a list of its neighbours, compact when the graph is sparse).

■ One ADT built from another

An ADT is defined by its **operations**, not by how it is stored, so the same behaviour can be built several ways:

ADT	Built from	How
stack	a 1-D array	plus one integer Top ; push increments it and writes, pop reads and decrements
queue	a 1-D array	plus Front , Rear and a count, with the pointers wrapping round the end
linked list	two 1-D arrays	one for the data, one for the index of the next node, plus a start pointer and a free list
binary tree	three 1-D arrays	data, left-pointer and right-pointer, plus a root pointer
dictionary	a hash table , or two parallel arrays	a key is hashed to an index, and the value stored there

■ Comparing algorithms with Big O

Big O states how the work grows as the number of items n grows, ignoring constants.

Operation	Time complexity
linear search	$O(n)$
binary search	$O(\log n)$
bubble sort, insertion sort	$O(n^2)$ worst case
insertion sort on nearly sorted data	$O(n)$ best case
search a balanced binary search tree	$O(\log n)$
search a degenerate binary search tree	$O(n)$
push or pop a stack	$O(1)$

- That last pair is worth stating carefully: a BST is only $O(\log n)$ while it stays **balanced**. Inserting already-sorted data gives every node one child, and the tree degenerates into a linked list.

2 Practice

Now use the methods above.

2.1 Describe how an item is found in a linked list. [3]

2.2 A binary search tree contains 50, 30, 70, 20, 40, 60 and 80, inserted in that order. State where the value 45 is placed, and explain how you decided. [3]

2.3 Give the **in-order** traversal of that tree, and state what is true of the order it produces. [2]

2.4 State **two** key features of a **graph**. [2]

2.5 State the time complexity, in Big O notation, of a linear search and of a binary search. [2]

3 Exam-style questions

3.1 Write an algorithm, using pseudocode, that searches a **linked list** for a value **SearchItem**.

The list is held in the arrays **Data** and **Pointer**, with **StartPointer** giving the index of the first node and a null pointer written as -1 . [5]

3.2 (a) Give the **pre-order** and **post-order** traversals of the tree in 2.2. [2]

(b) State **one** use of a pre-order traversal and **one** use of an in-order traversal. [2]

3.3 Describe how a **stack** can be implemented using a 1-D array, including what happens on a push and on a pop. [4]

3.4 A company stores the roads between towns. Some roads are one-way, and each road has a length. There are loops in the network.

Name a suitable ADT and **justify** your choice. [3]

3.5 A binary search tree is built by inserting values that are already in ascending order.

(a) Describe the shape of the resulting tree. [2]

(b) State the time complexity of searching it, and explain why it differs from that of a balanced tree. [2]

Solutions

Each answer shows the steps, then the **result**.

2.1

- start at the node given by the **start pointer**
- compare the data at the current node with the item being searched for
- if it does not match, move to the node given by that node's **pointer**, and repeat until it matches or the pointer is **null**

2.2

- $45 < 50$, so go **left** to 30
- $45 > 30$, so go **right** to 40; $45 > 40$, so go right again, and that pointer is **null**
- so 45 becomes the **right child of 40**

2.3

- 20, 30, 40, 50, 60, 70, 80
- an in-order traversal of a binary search tree gives the values in **ascending order**

2.4

- any two: it is a set of **vertices** joined by **edges**; an edge may be **directed** or undirected; an edge may be **weighted**; a vertex may have any number of edges; the graph may contain **cycles**

2.5

- linear search $O(n)$
- binary search $O(\log n)$

3.1

- initialise the current pointer to **StartPointer** and a Found flag to **FALSE**
- a loop that continues while the pointer is not **-1** **and** the item has not been found
- compare **Data[CurrentPointer]** with **SearchItem**
- on a match, set **Found** to **TRUE**
- otherwise follow the pointer: **CurrentPointer** $\leftarrow$ **Pointer[CurrentPointer]**

```
CurrentPointer <- StartPointer
Found <- FALSE
WHILE CurrentPointer <> -1 AND Found = FALSE
    IF Data[CurrentPointer] = SearchItem THEN
        Found <- TRUE
    ELSE
        CurrentPointer <- Pointer[CurrentPointer]
    ENDIF
ENDWHILE
```

- a loop that tests only for the item, and not for the null pointer, runs off the end of the list when the item is absent

3.2

(a) pre-order: 50, 30, 20, 40, 70, 60, 80

- post-order: 20, 40, 30, 60, 80, 70, 50

(b) **pre-order** visits the node before its subtrees, so it is used to **copy** a tree, or to write it out in a form that rebuilds the same shape

- **in-order** gives a binary search tree's values in **ascending order**, so it is used to output them sorted

3.3

- declare a 1-D array of a fixed size, and an integer pointer **Top**, initialised to show an empty stack
- to **push**: first check the stack is not **full**; increment **Top**, then store the new value at that index
- to **pop**: first check the stack is not **empty**; read the value at **Top**, then decrement **Top**
- the value popped is the one pushed most recently, which is what makes the structure **LIFO**; the data is not erased, it is simply no longer within the pointer

3.4

- a **graph**
- the towns are **vertices** and the roads are **edges**, the one-way roads are **directed** edges and the lengths are **weights** on the edges
- a tree could not represent it, because the loops in the network are **cycles** and a tree has none; and a road may connect any two towns, whereas a tree allows each node only one parent

3.5

(a) every value inserted is larger than all those before it, so it goes to the **right** of every existing node

- the tree has **one branch**: every node has only a right child, so it is effectively a **linked list**

(b) **O(n)**

- a balanced tree halves the number of remaining nodes at each step, giving $O(\log n)$; this tree removes only **one** node per step, so the search has to walk the whole chain